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JC07 Rec'd PCT/PTO 26 DEC 2001

PCT Applicant's Guide - Volume II - National Chapter - US

Annex US.II, page 1

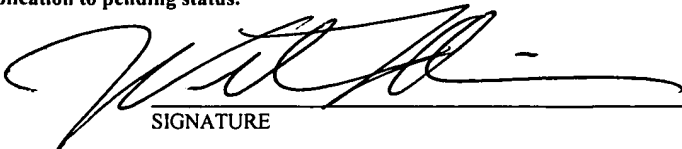
12/26/01



FORM PTO-1390 (REV 1-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 845A 3212 PCT	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.51) 107019183	
INTERNATIONAL APPLICATION NO. PCT/EP00/05929		INTERNATIONAL FILING DATE 26 June 2000		PRIORITY DATE CLAIMED 26 June 1999	
TITLE OF INVENTION TOOTHED DAYLIGHT BLINDS					
APPLICANT(S) FOR DO/EO/US HELMUT KÖSTER					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 11. <input checked="" type="checkbox"/> Applicant claims small entity status. 					
Items 12. to 17. below concern document(s) or information included:					
<ol style="list-style-type: none"> 12. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 14. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input checked="" type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. Copy of Form PCT/IB/308 (Notice re Application to the Designated offices) b. Copy of Form PCT/IPEA/408 (Written Opinion) c. Copy of Form PCT/IPEA/409 (International Preliminary Examination Report) d. Four (4) sheets of drawings e. Letter to the Examiner 					

531 Rec'd P

26 DEC 2001

U.S. APPLICATION NO. (if known, see 17 C.F.R. 1.53) 10/019183		INTERNATIONAL APPLICATION NUMBER PCT/EP00/05929		ATTORNEY'S DOCKET NUMBER 845A 3212 PCT		
18. <input checked="" type="checkbox"/> The following fees are submitted:					CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):						
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO of JPO \$1040						
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890						
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$740						
International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710						
International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100						
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 890	
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					\$ 0	
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE		
Total claims	25[26]	20	6	\$ 18	\$ 108	
Independent claims	1	3	0	\$ 84	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280	\$ 280	
TOTAL OF ABOVE CALCULATIONS =					\$ 1,278	
Reduction of 1/2 for filing by small entity, if applicable.					\$ 639	
SUBTOTAL =					\$ 639	
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					+ \$ 0	
TOTAL NATIONAL FEE =					\$ 639	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property					+ \$ 0	
TOTAL FEES ENCLOSED =					\$ 639	
\$					Amt. Refunded	\$
					Amt. charged	\$
a. <input checked="" type="checkbox"/> Check in the amount of <u>\$ 639</u> to cover the above fee is enclosed.						
b. <input type="checkbox"/> Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO: KODA & ANDROLIA 2029 Century Park East, Suite 3850 Los Angeles, CA 90067-3024 Dated: December 26, 2001						
				SIGNATURE		
				William L. Androlia REG.NO. 27,177		
Form PTO-1390 (REV 1-98)						

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HELMUT KÖSTER

International Appl. No.: PCT/EP00/05929

International Filing Date: 26 June 2000

For: TOOTHED DAYLIGHT BLINDS

LETTER TO THE EXAMINER

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Patent Application

Dear Sir:

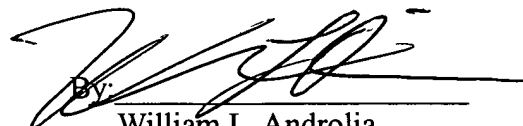
In regards to the above-identified PCT application which was originally filed in the English language and is now entering the U.S. National Stage pursuant to 35 USC 371, the applicant, in response to the International Preliminary Examination Report issued by the European Patent Office, amended the specification and claims. Accordingly, enclosed herein is a substitute specification that contains such amendments, and it is respectfully requested that the examination by the U.S. Patent Office will be done on the enclosed substitute specification.

Please charge any additional costs incurred by or in order to implement this Preliminary Amendment or required by any requests for extensions of time to KODA & ANDROLIA DEPOSIT ACCOUNT NO. 11-1445.

Respectfully submitted,

KODA & ANDROLIA

2029 Century Park East
Suite 3850
Los Angeles, CA 90067
(310) 277-1391
(310) 277-4118 (fax)

By: 
William L. Androlia
Reg. No. 27,177

10/019183
531 Rec'd PCT 26 DEC 2001

I hereby certify that this correspondence is being deposited with the United States Postal

Service as Express Mail No. EV010399931US addressed to:

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

on December 26, 2001 by Inja Yi.

Dated: 12/26/01

By: Inja Yi.

845A 3212 PCT KÖSTER
Inventor(s): HELMUT KÖSTER
For: TOOTHED DAYLIGHT BLINDS